## IAP15 Rec'd PCT/PTO 16 AUG 2006

FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

	TRA	INSMITTAL LETTER TO THE UNITED STATES	ATTORNEY'S DOCKET NUMBER										
		ESIGNATED/ELECTED OFFICE (DO/EO/US)	016906-0501										
	C	DNCERNING A FILING UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/575,893										
		NAL APPLICATION NO. INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED										
		004/010315 9/15/2004 /FNTION	10/17/2003										
	TITLE OF INVENTION HEAT EXCHANGER, IN PARTICULAR FOR MOTOR VEHICLES												
APPLICANT(S) FOR DO/EO/US Claus AUGENSTEIN, Karsten EMRICH, Daniel HENDRIX, Frank VON LÜTZAU													
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:													
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C. 3	71.										
2.		This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a fi	ling under 35 U.S.C. 371.										
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.											
4.		The US has been elected (Article 31).											
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))											
		is attached hereto (required only if not communicated by the International Communicated Co	ational Bureau).										
		has been communicated by the International Bureau.											
		is not required, as the application was filed in the United States Red	ceiving Office (RO/US)										
6.		An English language translation of the International Application as filed (38 is attached hereto.  has been previously submitted under 35 U.S.C. 154(d)(4).	5 U.S.C. 371(c)(2)).										
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  are attached hereto (required only if not transmitted by the International Bureau).  have been communicated by the International Bureau.  have not been made; however, the time limit for making such amendments has NOT expired.  have not been made and will not be made.											
8.		An English language translation of the amendments to the claims under P	CT Article 19 (35 U.S.C. 371(c)(3)).										
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).											
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).											
Iten	ns 11 to 2	0 below concern other document(s) or information included:											
11.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.											
12.		An assignment document for recording. A separate cover sheet in compli-	ance with 37 CFR 3.28 and 3.31 is included.										
13.		A preliminary amendment.											
14.		An Application Data Sheet under 37 CFR 1.76.											
15.		A substitute specification.											
16.		A power of attorney and/or change of address letter.											
17.		A computer-readable form of the sequence listing in accordance with PCT	Rule 13ter.2 and 37 CFR 1.821 – 1.825										
18.		A second copy of the published international application under 35 U.S.C. 1	54(d)(4).										
1		A second copy of the English language translation of the international application under 35 U.S.C: 154(d)(4).											
20.	$\boxtimes$	Other items or information: Power of Attorney, Executed Declaration, State	tement under 37 CFR 3.73(b),										
EOF	and copy of Assignment												

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The following fees have been submitted: 2.	21									Y'S DOCKET NUMBER 06-0501					
22	Examination fee   International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)   \$100		The following	ng fees have											
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)  \$200  \$200  \$2.0	If International preliminary examination report prepared by USPTO and all claims salisty provisions of PCT Article 33(1)-(4) All other situations \$200 \$200 \$200 \$200 \$200 \$200 \$200 \$20	21. Basic national fee \$300								0.00					
Search Fee   Sar CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority   \$100   International Search Report prepared and provided to the Office   \$400   All other situations   TOTAL OF ABOVE 21, 22 and 23 =   \$0.00	Search fee   USPTO as an International Searching Authority   \$100	If Interna provisi	tional preliminions of PCT A	nary examin	\$	0.00									
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400	Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Report prepared and provided to the Office \$400 All other situations \$500 \$ 0.00  **TOTAL OF ABOVE 21, 22 and 23 = \$0.00  **TOTAL OF ABOVE 21, 22 and 23 = \$0.00  **TOTAL OF ABOVE 21, 22 and 23 = \$0.00  **Additional fee for specification and drawings filed in a peter over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.  Total Sheets Etar sheets Number of each additional 50 or fraction in the provided for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.432(b)).  **CLAIMS*** NUMBER FILED NUMBER EXTRA RATE \$  **Total Claims** 14 - 20 = 0					<del> </del>									
USPTO as an International Search Report prepared and provided to the Office   \$400	USPTO as an International Searching Authority   \$100   International Search Report prepared and provided to the Office   \$400   \$100   \$0.00   \$0.00   \$100														
All other situations	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of specific or fraction thereof.  Total Sheets   Extra sheets   Number of each additional 50 or fraction   RATE   RATE   Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))   NUMBER EXTRA   RATE   \$	USPTO as an International Searching Authority \$100													
TOTAL OF ABOVE 21, 22 and 23 = \$ 0.00    Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.  Total Sheets   Extra sheets   Number of each additional 50 or fraction   thereof (round up to a whole number)    13 - 100 = 0	Additional fee for specification and drawings filed in page over 100 sheets (excluding squence listing or computer program listing filed in an electronic medium). The fee is \$ 0.00	Internation	onal Search F	Report prepa											
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thereof (round up to a whole number)   13 - 100 =   0	thereof (round up to a whole number)   13 - 100   1/50 =	seq	uence listing	or computer	B.										
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))  CLAIMS NUMBER FILED NUMBER EXTRA RATE \$  Total Claims 14 -20 = 0	Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the sarilest claimed priority date (37 CFR 1.492(e))  CLAIMS NUMBER FILED NUMBER EXTRA RATE \$  Total Claims 14 - 20 = 0	Total She	eets Extra	sheets	s Number of each additional 50 or fra			RATE	].						
CLAIMS NUMBER FILED NUMBER EXTRA RATE \$  Total Claims 14 - 20 = 0	earliest claimed priority date (37 CFR 1.492(e))  CLAIMS   NUMBER FILED   NUMBER EXTRA   RATE   \$ Total Claims   14	13 - 1	100 = 0	/50 =		0		x \$250.00	\$	0.00					
Total Claims 14 -20 = 0	Total Claims     14				\$	0.00									
Independent Claims  1	Independent Claims 1 -3 = 0	CL	AIMS	NUMBER	FILED	NUMBER EXTRA		RATE	\$						
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$ 360.00 \$  TOTAL OF ABOVE CALCULATIONS = \$ 0.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are + \$ 0.00  Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$ 0.00  Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property  TOTAL FEES ENCLOSED = \$ 0.00  Amount to be refunded: charged:	Total	l Claims	14	- 20 =	0	x\$	50.00	\$	0.00					
TOTAL OF ABOVE CALCULATIONS = \$ 0.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are + \$ 0.00  Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$ 0.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property  TOTAL FEES ENCLOSED = \$ 0.00  Amount to be refunded: charged:  a.	TOTAL OF ABOVE CALCULATIONS = \$ 0.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are + \$ 0.00  Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property  TOTAL FEES ENCLOSED = \$ 0.00  Amount to be refunded: charged: charged	Independ	dent Claims	1	- 3 =	0	x\$	200.00	\$	0.00	ĺ				
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL = \$ 0.00  Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$ 0.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property  TOTAL FEES ENCLOSED = \$ 0.00  Amount to be refunded: charged:  a.  A check in the amount of \$0.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No. in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed.  c. A check in the amount of the property overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.  d. Fees are to be charge to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  SUBTOTAL = \$ 0.00  Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$ 0.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property  TOTAL FEES ENCLOSED = \$ 0.00  Amount to be refunded: charged:  a.  A check in the amount of \$0.00 to cover the above fees is enclosed.  b.  Please charge my Deposit Account No. in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed.  c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.  d. Fees are to be charge to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:  Foley & Lardner LLP  Customer Number: 22428  NAME  25,479	MULTIPL	LE DEPENDE	NT CLAIM	S) (if app	olicable)	+\$	360.00	\$						
reduced by 1/2.  SUBTOTAL = \$ 0.00  Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$ 0.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property  TOTAL FEES ENCLOSED = \$ 0.00  Amount to be refunded: charged:  a.	reduced by ½.  SUBTOTAL = \$ 0.00  Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  TOTAL NATIONAL FEE = \$ 0.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property  TOTAL FEES ENCLOSED = \$ 0.00  Amount to be refunded: charged:  a.					TOTAL OF ABOV	E CALC	JLATIONS =	\$	0.00	· <del>-</del> ····-				
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<ul> <li>A duplicate copy of this sheet is enclosed.</li> <li>c. ☑ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.</li> <li>d. ☐ Fees are to be charge to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</li> </ul>	A duplicate copy of this sheet is enclosed.  c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.  d.   Fees are to be charge to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:  SIGNATURE  Richard L. Schwaab  NAME  25,479	a. ∟	A check in	n the amou	nt of \$0	.00 to cover the above t	rees is e	nciosea.							
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